

FORM: 1C

**APPLICATION FORM FOR CLAIMING OF CENTRAL CAPITAL INVESTMENT
SUBSIDY SCHEME, 2013 FOR HIMACHAL PRADESH / UTTARAKHAND.**

PART-1: COMMON FOR BOTH MANUFACTURING AS WELL AS SERVICE SECTORS

1. **a. Name of the Industrial Unit**
 - b. Factory address with telephone no (if any)
 - c. Office address with telephone / mobile no (if any)
 - d. Registered office

2. **a. Constitution of the unit (Proprietorial / Partnership / Private Limited / Limited company / Cooperative Society)**
 - b. Name (s) , address (es) of the Proprietor / Partners /s

Directors / Secretary and President of the Cooperative

Society.

3. **Whether new unit or existing unit Undergoing expansion**
 - a. In case of New unit**
 - i. Date of commencement of commercial production/ operation

 - b. In case of Existing unit undergoing expansion**
 - i. Date of commencement of commercial production / operation

after expansion.

4. **Details of registration**
 - a. In case of New unit**
 - i. EM-part-II, No & date

 - ii. IEM No & date

b. In case of existing unit undergoing expansion

i. Permanent (PMT) Registration / IEM /

EM-part-II No. & date

c. Mandatory / Obligatory Certificate of registration /

approvable from the concerned department as applicable

(in case of Service Sector units)

5. Registration No. under **CENTRAL CAPITAL INVESTMENT**

SUBSIDY SCHEME, 2013 FOR HIMACHAL PRADESH/

UTTARAKHAND. (Form: 1A/ 1B)

6. Fixed Capital Investment

(in Rs.)

Particulars	For New unit	For Existing unit undergoing expansion		
		Prior Expansion	During Expansion	Total After Expansion
a. Land				
b. Site Development				
c. Building				
i. Office building				
ii. Factory building				
d. Plant and Machinery/ Component / item				
e. Electrical installation				
f. Preliminary & Preoperative Expanses				
g. Miscellaneous fixed assets				

Total					
7	A	Source of Finance	:		
	i.	Promoters contribution	:		
	ii.	Equity	:		
	iii.	Term Loan	:		
	iv.	Un secured loan	:		
	v.	Internal resources	:		
	v.	Any other source (please specify)	:		
		Total			

7	B	Details of Term / Working capital Loan (if any):			
Name of Bank/ Financial Institution		Amount of term/working capital loan sanctioned	Sanction letter No.& Date	Amount of term/ working capital Loan disbursed	

7	C	Details of Equity (if any):			
Name		Amount	PAN No	Mode of payment	

7	D	Details of Unsecured Loan (if any)
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Name	Amount	PAN No	Mode of payment

[Details as per form: 1C (F)]

8. Power:

A. In case of NSew units

- (i) Sanctioned Load
- (ii) Connected Load
- (iii) Capacity of Captive Power Plant (if any):

B. In case of existing units undergoing expansion

- (i) Sanctioned Load prior to expansion
- (ii) Connected Load prior to expansion
- (iii) Sanction of additional load for expansion
- (iv) Additional connected load for expansion
- (v) Capacity of captive power plant (if any):

9. Details of Land and Building

A Land

(A) Own Land

- (i) Land area, Revenue Village, Dag No. & Patta No.
- (ii) Date of purchase
- (iii) Date of registration

(B) Land allotted by Government / Government Agency

- (i) Date of allotment/agreement

(ii) Date of taking over possession

(C) Lease hold land

(i) Date of lease of land

(ii) Period of lease

(B) Building

(a) Own building / rented building In case of own building, built up area

10. Statement of Investment in Plant & Machinery as per Form: 1C(A)

PART-II : FOR MANUFACTURING SECTOR ONLY

(Please score out this part, if not applicable)

11. Details of production of the unit

a. For New unit

SR. NO.	Name (s) of the Product (s)	Annual Installed Capacity		Actual production during last year/from the date of commercial production till date (if any)		Remarks
		Quantity	Value(in Rs.)	Quantity	Value(in Rs.)	

b. For existing units undergoing expansion

SR. NO	Name(s) of the Product (s)	Annual Installed capacity Prior to expansion		Actual production during last year/ from the date of commercial production till date (if any)		Remarks
		Quantity	Value (in Rs.)	Quantity	Value (in Rs.)	

12. Raw Materials

a. For New Unit

SR. NO.	Name/s of the raw materials	Annual requirement			
		Quantity		Value (in Rs.)	

b. For existing unit undergoing expansion

SR No	Name/s of the raw materials	Annual requirement prior to expansion		Annual requirement after expansion	
		Quantity	Value (in Rs)	Quantity	Value(in Rs)

13. Sale of finished product (s)

a. For New Unit

SR. No	Name/s of the product(s)	Product(s) sold during last year/ From the date of commercial Production		Remarks
		Quantity	Value (in Rs)	

b. For existing unit undergoing expansion

SR.NO.	Name/s of the Product(s)	Product(s) sold during last year/during the year prior to expansion		Product(s) sold/service rendered during the year after expansion	
		Quantity	Value(in Rs)	Quantity	Value(in Rs)

14. Employment generation

SR. No	Category	For new unit	For expansion unit		
			Before expansion	After expansion	Total
1	2	3	4	5	6
I	Managerial				
ii	Supervisory				
iii	Skilled				
iv	Semi-skilled				
v	Unskilled				
vi	Others				
	Total				

PART-III: FOR SERVICE SECTORS ONLY

(Please score out this part, if not applicable)

15	Particulars	New unit	Existing unit
A	Hotel not below two Star Category		
I	Location of the Hotel		
ii	Category of Hotel (Please attach certificate		
iii	Area in square meters		
iv	Total cost of the building (in Rs)		
v	Total cost of essential items (list to be attached) (in Rs.		

Vi	No. of rooms and area of each type of rooms in square feet				
Vii	Facilities/ amenities provided (please attach separate sheets. If required)				
Viii	Whether the norms/criteria laid down by the concerned department/agency have been fulfilled				
B.	Adventure and Leisure sports including ropeways				
i	Location of ropeways installation				
ii	Total distance from the first point to the last point (applicable to ropeways only)				
iii	Whether the equipment used/proposed to be used are of international standard				
iv	Name & Address of the consultant engaged/proposed to be engaged in installation of Rope ways				
v	Whether the consultant has adequate experience of installation of ropeways. If so, Give at last two location where they have done so				
vi	Total cost of civil work (in Rs)				
vii	Total cost of essential items installed/ to be installed (please attach separate sheet) (in Rs)				
16.	Employment position in the unit				
Sr. no.	Category	For new unit	For expansion unit		
			Before Expansion	After Expansion	Total
1	2	3	4	5	6
i	Managerial				
ii	Supervisory				

iii	Skilled				
iv	Semi-skilled				
v	Unskilled				
vi	Others				
	Total				

PART-IV

17. Bank Account No. and name of the Bank where the subsidy amount is to be deposited _____

18. I/ Wedeclare and affirm that the particular/statement furnished above, are true to my/our knowledge and belief and if any statement made herewith in connection with this claim is detected as false or misrepresentation, the amount of subsidy granted by the Government will be refunded by me/us to the Government.

Place:

Signature of the applicant/s/ Status in relation

To the

Unit

Date:

Seal:

FORM: 1C (i)

CHECKLIST: CLAIM

Certificate attested photocopies of the documents to be submitted along with the application form for claiming Capital Investment subsidy under Central Capital Investment Subsidy Scheme, 2013 for Himachal Pradesh and Uttarakhand.

1. Constitution of the unit

a. In case of Private Limited / Public Limited company

- (i) Registration Certificate under Companies Act
- (ii) Memorandum and Article of Association
- (iii) Name & Address of the Director with their PAN no

b. In case of Partnership

- (i) Deed of partnership
- (ii) General Power of Attorney
- (iii) Name & Address of the Partners with their PAN No

c. In case of Co-operative Society

- (i) Registration Certificate from the Jt. Registration of Co-operative Society
- (ii) Article of Memorandum of Association
- (iii) Resolution of the general Body for Registration of the unit

2. Registration

a. In case of proposed/ new unit

Entrepreneurs Memorandum Part I & Part II/I LOI/IL (as applicable)

b. In case of existing unit undergoing expansion:

PMT reign./ Entrepreneurs Memorandum Part II/IEM/LOI/IL(as applicable)

3. Land & Building

a. In case of own land

- (i) Purchase deed/ gift deed/any other document to establish the ownership
- (ii) Upto date non-incumbent certificate
- lii) Jamabandi copy and trace map

B. In case of Industrial land allotted by any Government Agency

- (i) Deed of agreement
- (ii) Up to date rent payment receipt

C. In case of Industrial shed allotted by any Government Agency

- (i) Deed of agreement
- (ii) Up to date rent payment receipt

D. In case of leasehold land from a private owner

Lease deed agreement

E. In case of Government Land/Plot allotted by Government

- (i) Allotment letter
- (ii) Premium payment receipt

4. Statement of Plant and Machinery as per Form: 1C (A)

5. Certified copies of all bills, vouchers & money receipt of plant and machinery

6. Certificate of fixed capital investment from a Chartered Accountant as per

Form: 1C (B) (ii)

7. Certificate from Financial Institution / Banks, regarding disbursement, vide

Form: 1 C D)

8. Sanction letter from the financial Institution / Banks for term loan & working capital

9. Certificate from a Chartered Accountant regarding Sources of Finance as per **Form: 1C (F)**

10. Certificate from Registered Architect on Civil Construction,

Form: 1C (G) (i)/ From: IC (G) (ii)

11. Project Report

12. Power sanction letter and first bill from State Electricity Board/ Competent Authority/
Competent Department.

13. No objection certificate from local body/authority

14. NOC/Consent for operation from Pollution Control Board

15. First bill (s) money receipt of purchase of raw material

16. Challan against first sale of finished product (s)/ service rendered.

17. Affidavit as per **From: 1C (C)**

18. List of employees with name, address and designation

19. Balance sheets for last three accounting years (in case of existing units undergoing
expansion).

20. Tea Board registration in case of a Tea Factory

21. Registration certificate under Central Package of Industrial Incentive for Himachal Pradesh
and Uttarakhand, 2013, issued by DIC

22. PAN Card of the Directors/Proprietors/Partners

23. Sales tax/VAT, Central excise clearance certificate

24. Certificate of the unit having become functional/operational indicating date of
commencement of function/operation from the concerned department as applicable (in case of
service sector unit)

25. Report of HPSIDC/SIDCUL on the scrutiny of the details of the cost of project (in case of self-financed units)

26. Any other document that may be required as per direction of State Government /Directorate of Industries / HPSIDC/SIDCUL